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#### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on March 29, 2001.

Attorney for Applicant(s)

PATENT APPLICATION Docket No. 3209.2.2

]	IN THE UNITED STATES PATENT AND TRADEMAR	K OFFICE	RECEIVED
Applicants:	See-Wai Yip et al.	,	ΜΔΥ ο
Serial No.:	09/775,172	) To	echnology Center 2100
Filing Date:	February 1, 2001	) )	
For:	SYSTEMS AND METHODS FOR PKI-ENABLING APPLICATIONS USING APPLICATION-SPECIFIC CERTIFICATES	)	

# INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

This Information Disclosure Statement discloses information which has come to the attention of applicants and their attorneys and is being submitted so as to comply with the duty of disclosure set forth in 37 C.F.R. § 1.56. In accordance with 37 C.F.R. § 1.97(b), this Statement is being filed within three (3) months of the filing date of the above-identified application or before the mailing date of a first Action on the merits.

Neither applicants nor their attorneys make any representation that any information disclosed herein may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or

103. Moreover, pursuant to 37 C.F.R. § 1.97, the filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made or as an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

In accordance with 37 C.F.R. § 1.98, this Information Disclosure Statement includes and is accompanied by:

- 1. A completed copy of Form PTO-1449 listing the patents, publications and other information being submitted for consideration; and
- 2. A legible copy of each patent, publication and other item of information in written form listed on the enclosed Form PTO-1449.

Respectfully submitted,

Kory D. Christensen Reg. No. 43,548

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FORM PTO-1449

### LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

(use several sheets if necessary)

SERIAL NO. 09/775,172	ATTORNEY DOCKET NO. 3209.2.2		
FILING DATE February 1, 2001	GROUP ART UNIT		
APPLICANT(S):	Yin et al		

# REFERENCE DESIGNATION

# **U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A1	5,982,898	11/09/1999	Hsu et al.	380/23	03/07/97
	A2	5,684,950	11/04/1997	Date et al.	395/187.01	09/23/96

EXAMINER	DATE CONSIDERED